

證券轉移指示 – 基金/ 債券/ 結構性產品

Securities Transfer Instruction – Funds/ Bonds/ Structural Products

日期 Date: _____

客戶名稱 Account Name		證券戶口號碼 Securities Account Number	
聯絡電話 Contact Number		電郵地址 Email Address	

本人/吾等特此通知貴公司按下述內容執行證券轉移指示:

I/We hereby instruct your company to effect securities transfer instruction in accordance with the following details:

- 將下列證券存入本人/吾等之證券戶口 **RECEIVE** the following securities for my/our securities account
 由本人/吾等之證券戶口提取下列證券 **DELIVER** the following securities for my/our securities account

交收對手資料 Information of counter-party:

交收對手名稱及編號 Counter-party name and Number	()		
交易日期 Trade Date		交收日期 Settlement Date	
聯絡人姓名 Contact Person		聯絡電話 Contact Tel No.	

證券詳情 Information of securities

ISIN Code	證券描述 Description of securities	數量 Quantity	交收方法 Settlement method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()

就上述證券轉移指示，本人/吾等 Pursuant to the above securities transfer instruction, I/We

- 確認上述證券的實益擁有權並沒有因進行本次轉移指示而出現變動。
 Confirm that there is no change in beneficial ownership through effecting this securities transfer instruction.
- 如轉移基金，明白元大證券(香港)有限公司對上述基金所產生的所有股息及資本利得採用再投資政策(如適用)。
 Understand that Yuanta Securities (Hong Kong) Company Limited adopts reinvestment policy for all dividends and capital gain derived from the above fund if fund is transferred (If applicable).
- 承諾就本次證券轉移指示所可能令貴公司蒙受或承擔的任何費用或開支，向貴公司作出彌償及使之獲得彌償。
 Undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, whatsoever which may be suffered or incurred by you in connection with this assets transfer instruction.

客戶簽署 Client's Signature(s)

請用留存本行紀錄之簽署式樣

Please use signature(s) filed with Yuanta Securities (Hong Kong) Company Limited

注意 Note:

- 請用正楷填寫，並在適當的地方加上剔號。 Please complete in **BLOCK LETTERS** and tick where applicable.
- 請把填妥的表格傳真至交收部(852)2866 4656。 Please fax the completed form to settlement at (852)2866 4656.
- 原有證券公司或金融機構之登記姓名必須與您的元大證券(香港)有限公司戶口之登記姓名相同。 The account name of where the assets is being transferred from must be exactly the same as the beneficiary account name with Yuanta Securities (Hong Kong) Company Limited.

經紀名稱

A.E. Name: _____

經紀代號

A.E. Code: _____

For Official Use Only			
S.V.	Checked By Dealing Dept.	Checked By Settlement (For Custodian)	
		Inputted By	Checked By