

We Create Fortune

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<u>Instruction for Stock Transfer and Coverage/De-coverage of Stock Option Contract</u> 股票轉移及備兌/解除備兌股票期權合約指示

| Account Number 帳戶號碼 |
|---------------------|
| |
| Date 日期 |
| |
| |

I/we hereby instruct you to transfer the below stock(s) from my/ our <u>*securities / stock options account</u> to my/ our <u>*stock options / securities account</u> to cover / decover short-call position of relevant stock option contract(s) 請根據本人/吾等之指示將下列股票由本人/吾等之 *證券 / 股票期權帳戶 轉移至本人/吾等之 *股票期權 / 證券帳戶,以備兌 / 解除備兌相關認購期權短倉。

| Stock Code | Stock Name | Quantity |
|------------|------------|----------|
| 股票代號 | 股票名稱 | 數量 |
| | | |
| | | |
| | | |

| # Details of client's phone instruction (to be filled by AE) | | | | |
|--|-----------------------|--|--|--|
| 客戶電話指示之詳情 (由經紀填寫) | | | | |
| Recorded Line Number | Recording Date & Time | | | |
| 錄音電話號碼 | 錄音日期及時間 | | | |
| | | | | |
| | | | | |

Client's Signature(s)/ AE's Signature#

客戶簽署/ 經紀簽署#

Please use signature(s) filed with Yuanta Securities (Hong Kong) Company Limited 請用留存於元大證券(香港)有限公司之簽署式樣

<u>Notes</u> 注意:

1. *Please delete whichever is not appropriate 請刪去不適用處

2. Using respective underlying stock to cover/ de-cover a short-call stock option contract, the contract being picked will be in a randomly assigned basis by Yuanta Securities (Hong Kong) Company Limited. 使用股票期權的個別正股進行備兌/解除備兌,獲配的沽出認購股票期權合約, 元大證券(香港)有限公司將以隨機形式抽選。

| For Official Use Only | | | | | | |
|-----------------------|------------|-----------|------------|--|--|--|
| S.V. | Sett - Sec | Sett - SO | Checked by | | | |
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